

Examples of government interaction and recommendations for action

Revised February 2012

Certain forms of government interaction on Duke's behalf by Duke representatives are subject to both federal and state regulations and Duke's policy on government relations activities. The selected examples below are intended to enable interested Duke personnel to obtain a general understanding of what activities may (or may not) trigger related registration and reporting requirements. **Please note:** The following is not intended as legal advice, and does not definitively address every government relations activity to which government regulations or Duke policy might (or might not) apply. Any questions regarding government relations activities or requirements or the Duke government relations policy should be directed to the appropriate office in the Duke system, listed below.

- For health-related issues at the federal level for Duke University Health System (DUHS) and state government issues for both Duke University and DUHS:

Office of Government Relations

Tel: 919-416-8910

Website: http://www.dukemedicine.org/AboutUs/government_relations

- For federal legislative and regulatory matters of interest to Duke University:

Office of Federal Relations

Tel: 919-668-6270

Website: <http://www.duke.edu/federalrelations/>

Situation	Is there a reporting obligation?	Should I Notify Duke Federal Relations and/or Government Relations?
Professor Smith sends a research paper to Congressman Wagner regarding her stem cell research.	No	Yes
Professor Smith writes as a private citizen to a member of congress asking him/her to support a piece of legislation.	No	No
Professor Smith calls a program officer at NSF to check on the status of a grant application.	No	No
Professor Smith contacts a program officer to ask for clarification on a regulation regarding new awards.	No	No

Congresswoman Wagner invites Professor Smith to testify in front of her committee.	No	Yes
Professor Smith writes in response to a new notice of regulation regarding an issue of interest.	No	Yes
Professor Smith provides Congresswoman Wagner with legislative analysis which is non-partisan and educational in nature, available to the public and doesn't advocate for the adoption or rejection of the law.	No	Yes
Professor Smith provides Congresswoman Wagner and her staff a copy of a study or research which is non-partisan and educational in nature, available to the public and doesn't advocate for the adoption or rejection of the law.	No	Yes
Professor Smith provides Congresswoman Wagner and her staff with an analysis of legislation and also advocates for the adoption of the law.	Yes	Yes
Professor Smith visits with Congresswoman Wagner's staff when they visit campus, and the Professor asks for support for increased NIH funding.	Yes	Yes
Professor Smith contacts a cabinet official regarding a program in their agency and asks for assistance.	Yes	Yes
Professor Smith lobbies Congresswoman Wagner as part of a delegation from the Association of Biology Professors for additional funding from NIH.	Yes	Yes
Professor Smith writes on university stationary and asks Congresswoman Wagner to vote for/against a particular bill.	Yes	Yes